

## **Incoming Transfer/Direct Rollover Governmental 457(b) Plan**

Do not complete the Investment Option Information portion of this form if you elected to have your account professionally managed by Advised Assets Group, LLC ("AAG"). If you have not yet elected to have your account professionally managed by AAG and would like to enroll in the Managed Accounts Service, call 1-800-701-8255.

Wyoming Retirement System 457 Deferred Compensation Plan			State Government Employee 93001-01 DOTHER Government Employee 93001-02 DOTHER GOVERNMENT EMPLOYEE 93001-02 DOTHER GOVERNMENT EMPLOYEE 93001-01 DOTHER GOVERNMENT EMPLOYEE 93001-01 DOTHER GOVERNMENT EMPLOYEE 93001-01 DOTHER GOVERNMENT EMPLOYEE 93001-01 DOTHER GOVERNMENT EMPLOYEE 93001-02 DOTHER GOVERNMENT EMPLOYEE 93001-01 DOTHER GOVERNMENT EMPLOYEE 93001-01 DOTHER GOVERNMENT EMPLOYEE 93001-02 DOTHER EMPLOYEE		
Participant Information					
Last Name	First Name	MI	Social	Security Number	
Addre	ss - Number & Street		E-	-Mail Address	
City	State	Zip Code	Mo Day Year	☐ Female	☐ Male
Home Phone	Work Phone	<del>,</del>	Date of Birth	Married	Unmarried
Payroll Information  Pay	yroll Center Name		Payro	ll Center Number	
:	Division Name		Div	vision Number	
D	epartment Name		Department Number		
Transfer/Direct Rollover	Information				
I am choosing a:  Transfer from a govern  Direct Rollover from a  Non-Roth \$  Roth \$  Direct Rollover from a  401(a) plan  401(k) plan  Non-Roth \$  Roth \$	governmental 457(b) plan.  (all contributions and qualified:  (all contributions and qualified:  (all contributions and qualified:  (all contributions and qualified:  (all contributions qualified:	d earnings, exc and earnings) s and earnings, ons and earning s and earnings, ons and earnings,	eluding Roth contributions and ear excluding Roth contributions and gs) excluding Roth contributions and	l earnings)	
Company Name			Account Nun	mber	
Mailing Address					
City/State/Zip Code			( Phone Numb	) er	

Last Name	First Name	M.I.	Social Security Number	Number
Previous Provider must complete:				
Employer/employee before-tax earnin	gs and contributions: \$			
Note: Unless otherwise indicated, all	amounts received will be consid-	ered employee b	efore-tax contributions and earn	nings.
Previous Plan Administrator must j	provide the following informat	tion for Designa	ted Roth Account Rollovers:	
Roth first contribution date:				
Roth contributions (no earnings): \$				
Amount of Transfer/Direct Roll	over: \$(E	nter approximat	e amount if exact amount is not	known.)
Investment Option Information -	Please refer to your communicat	tion materials for	investment option designations.	_
I understand that funds may impose refund's prospectus or other disclosure d				
Please Note: For automatic dollar coregarding transfer restrictions.	st averaging call KeyTalk <sup>®</sup> or	access our Web	site. Please refer to the Particip	ation Agreement for information
INVESTMENT OPTION NAME PRE-MIXED FUNDS	INVESTMENT OPTION CODE (Internal Use Only)		ESTMENT OPTION NAME E-MIXED FUNDS (CONT.)	INVESTMENT OPTION CODE (Internal Use Only)
LifePath Index Retirement Fund Q LifePath Index 2020 Fund Q	BRLIRQ% BRL20Q%		dex 2040 Fund Qdex 2045 Fund Q	

93001-01/-02

WRSSMD

%

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## **Participant Acknowledgements**

LifePath Index 2025 Fund Q .....

WRS Fixed Income Fund.....

WRS Real Assets Fund.....

MIX-YOUR-OWN FUNDS

LifePath Index 2030 Fund Q ...... BRL30Q

LifePath Index 2035 Fund Q ...... BRL35Q

Advised Assets Group, LLC - If I have elected to have my account professionally managed by Advised Assets Group, LLC and this form is submitted, my election to have my account professionally managed will override the investment allocation requested on this form until such time as I revoke or amend my election to have my account professionally managed.

%

WRSRAS

General Information - I understand that only certain types of distributions are eligible for transfer/rollover treatment and that it is solely my responsibility to ensure such eligibility. By signing below, I affirm that the funds I am transferring/rolling are in fact eligible for such treatment.

I authorize these funds to be transferred into my employer's Plan and to be invested according to the information specified in the Investment Option Information section.

If the investment option information is missing or incomplete, I authorize Service Provider to allocate the transfer/direct rollover assets ("assets") the same as my ongoing contributions (if I have an account established) or to the default investment option selected by my Plan (if I do not have an account established). If no default investment option is selected, the funds will be returned to the payor as required by law. If my assets are received more than 180 calendar days after Service Provider receives this Incoming Transfer/Direct Rollover form (this "form"), I authorize Service Provider to allocate all monies received the same as my ongoing allocation election on file with Service Provider. I understand I must call the Voice Response System or access the Web site in order to make changes or transfer monies from the default investment option. The assets will be processed on the day this form is received. I understand that this completed form must be received by Service Provider at the address below.

I understand that the current Custodian/Provider may require that I furnish additional information before processing the transaction requested on this form, and Service Provider is not responsible for determining the status of any transaction that I have requested. It is entirely my responsibility to provide the current Custodian/Provider with any information that they may require, and/or to notify Service Provider of any information that the current Custodian/Provider may wish to obtain in order to effect the transaction.

Withdrawal Restrictions - I understand that the Internal Revenue Code and/or my employer's Plan Document may impose restrictions on transfers, direct rollovers and/or distributions. I understand that I must contact the Plan Administrator/Trustee, if applicable, to determine when and/or under what circumstances I am eligible to receive distributions or make transfers/direct rollovers.

Investment Options - I understand that by signing and submitting this form for processing, I am requesting to have investment options established under the Plan as specified in the Investment Option Information section. I understand and agree that this account is subject to the terms of the Plan Document. I understand and acknowledge that all payments and account values, when based on the experience of the investment options, may not be guaranteed and may fluctuate, and, upon redemption, shares may be worth more or less than their original cost. I acknowledge that investment option information, including prospectuses, disclosure documents and Fund Profile sheets, have been made available to me and I understand the risks of investing.

Account Corrections - I understand that it is my obligation to review all confirmations and quarterly statements for discrepancies or errors. Corrections will be made only for errors which I communicate within 90 calendar days of the last calendar quarter. After this 90 days, account information shall be deemed accurate and acceptable to me. If I notify Service Provider of an error after this 90 days, the correction will only be processed from the date of notification forward and not on a retroactive basis.

**GWRS FRLCNT 12/12/17** 93001-01/02 **CHG NUPART** LDOM/MANUAL/SR 4354491

LifePath Index 2045 Fund Q ...... BRL45Q

LifePath Index 2050 Fund Q ...... BRL50Q

LifePath Index 2055 Fund Q ...... BRL55Q

LifePath Index 2060 Fund Q ...... BRL60Q

WRS Large Cap U.S. Equity Fund...... WRSLRG

MIX-YOUR-OWN FUNDS (CONT.)

WRS International Equity Fund.....

WRS Small/Mid Cap U.S. Equity Fund.....

MUST INDICATE WHOLE PERCENTAGES

				93001-01/-02		
Last Name	First Name	M.I.	Social Security Number	Number		
Payment Instructions						
Make check payable to: GREAT-WEST TRUST COMPAN	NY, LLC	Regular n (if mailed	nail address for the check and fo together):	orm		
O	actude the following information on the check: articipant Name, Social Security Number, an Number, Plan Name		GREAT-WEST TRUST COMPANY, LLC PO Box 560877 Denver, CO 80256-0877			
Wire instructions: Bank: US Bank Account of: Great-West Trust Cor Account no: 103655774323 Routing transit no: 102000021 Attention: Financial Control Reference: Participant Name, Soci		(if mailed US Bank 10035 Eas Attn Lock Denver, C Contact: 1	t 40th Avenue Suite 100 box # 560877 DN-CO-OCLB	form		
Please remember that this form nee	eds to arrive prior to or at the sa	ame time the funds a	arrive to invest according to the al	locations on this form.		
D	ato					
Required Signature(s) and Da	ate					
Participant Consent  My signature indicates that I have	read, understand the effect of 1	my election and agre	ee to all pages of this Incoming T	ransfer/Direct Rollover form.		
Participant Consent	read, understand the effect of r d is true and correct. I understar ontrol, Department of the Treas n designated by OFAC as a sp	nd that Service Prov sury ("OFAC"). As ecially designated r	ider is required to comply with that a result, Service Provider cannot tational or blocked person. For many	e regulations and requirement conduct business with person		
Participant Consent  My signature indicates that I have affirm that all information provide of the Office of Foreign Assets Coin a blocked country or any person the OFAC Web site at:	read, understand the effect of r d is true and correct. I understar ontrol, Department of the Treas n designated by OFAC as a sp	nd that Service Prov sury ("OFAC"). As ecially designated r	ider is required to comply with that a result, Service Provider cannot tational or blocked person. For many	e regulations and requirement conduct business with person		
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Participant Consent  My signature indicates that I have affirm that all information provides of the Office of Foreign Assets Coin a blocked country or any person the OFAC Web site at: http://www.treasury.gov/about/org  Participant Signature  A handwritten signature is required.	read, understand the effect of red is true and correct. I understand through the Treas in designated by OFAC as a spanizational-structure/offices/Parameter on this form. An electronic state as Approval	nd that Service Provider ("OFAC"). As ecially designated rages/Office-of-Foreing signature will not be a signature will not be	Date  e accepted and will result in a signicipant forward to Plan Administ ming Retirement System Yellowstone Road, Suite 500 yenne, WY 82002  me #: 1-800-989-9324 #: 1-307-777-3621 *o site: www.wrsdcp.com  eer's Plan is released from and the	e regulations and requirement conduct business with persor ore information, please access enificant delay. rator/Trustee at:		
Participant Consent  My signature indicates that I have affirm that all information provides of the Office of Foreign Assets Coin a blocked country or any person the OFAC Web site at: http://www.treasury.gov/about/org  Participant Signature  A handwritten signature is required  Authorized Plan Administrator/Tru I acknowledge and agree that the P	read, understand the effect of red is true and correct. I understand through the Treas on designated by OFAC as a spanizational-structure/offices/Patanizational-stru	nd that Service Provider ("OFAC"). As ecially designated rages/Office-of-Foreing signature will not be a signature will not be	Date  e accepted and will result in a signicipant forward to Plan Administ ming Retirement System Yellowstone Road, Suite 500 yenne, WY 82002  me #: 1-800-989-9324 #: 1-307-777-3621 *o site: www.wrsdcp.com  eer's Plan is released from and the	e regulations and requirement conduct business with person ore information, please access the properties of the properti		

Core securities, when offered, are offered through GWFS Equities, Inc. and/or other broker dealers. GWFS Equities, Inc., Member FINRA/SIPC, is a wholly owned subsidiary of Great-West Life & Annuity Insurance Company.

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